



Ridgeview Capital, LLC Privacy Policy Statement

At Ridgeview Capital, we understand that privacy is an important issue for customers of our firm. It is our policy to respect the privacy of our relationships and to protect the security and confidentiality of nonpublic personal information relating to such relationships.

Personal Information Collected

The information collected may come from the following sources:

- Information received from you, such as your name, address, telephone number and social security number;
- Information which may be received from other sources with your consent

Sharing of Non-Public Information

Ridgeview Capital, LLC does not disclose nonpublic personal information to any third party, except as required or permitted by law, including but not limited to any obligations of Ridgeview Capital, LLC under the USA Patriot Act.

Security

Ridgeview Capital, LLC strives to ensure that our systems are secure and that they meet industry standards. We protect personal information that is provided to us by maintaining physical, electronic and procedural safeguards. Where appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data.

We instruct our employees to use strict standards of care in handling personal financial information. As a general policy our staff will not discuss or disclose information regarding a relationship except with authorized personnel as required by law or pursuant to regulatory request and/or authority.

Access to Your Information

You may access information we have on file concerning our relationship with you through direct request of any managing director of the firm.

Changes to Ridgeview Capital, LLC Privacy Policy

Ridgeview Capital, LLC reserves the right to make changes to this policy.

How to Get in Touch with Ridgeview Capital, LLC about this Privacy Policy

For your reference, this policy has been posted to our website at: www.ridgeviewcap.com

For more information relating to Ridgeview Capital, LLC privacy policy, please contact:

Ridgeview Capital, LLC
Attn: Compliance Department
723 W. Pacific Avenue, Suite 100
Salt Lake City, UT 84104
(801) 456-1400